

CSC263 Winter 2019  
Lecture Notes (Archived)

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## 1 Notes On AVL Trees

**Definition of AVL Trees** A binary tree is *height-balanced* if the heights of the left and right subtrees of *every* node **differ by at most one**. An AVL tree is a height-balanced binary search tree.

**Remark** By convention, the height of an empty tree is  $-1$ ; the height of a tree consisting of a single node is  $0$ .

**Definition of balance factor** Let  $h_R$  and  $h_L$  be the heights of the right and left subtrees of a node  $m$  in a binary tree respectively. The *balance factor* of  $m$ ,  $BF[m]$ , is defined as  $BF[m] = h_R - h_L$ . For an AVL tree, the balanced factor of any node is  $-1, 0$  or  $+1$ .

1. if  $BF[m] = +1$ ,  $m$  is right heavy
2. if  $BF[m] = -1$ ,  $m$  is left heavy
3. if  $BF[m] = 0$ ,  $m$  is balanced

In AVL trees we will store  $BF[m]$  in each node  $m$

**Algorithm Search** Treat  $T$  as an ordinary binary search tree

**Algorithm Insert** First insert  $x$  in  $T$  as in ordinary binary search trees: trace a path from the root downward, and insert a new node with key  $x$  in it in the proper place, so as to preserve the binary search tree property. This may destroy the integrity of our AVL tree in that

1. The addition of a new leaf may have destroyed the height-balance of some nodes
2. The balance factors of some nodes must be updated to take into account the new leaf

**Steps for Insert** as following

Insert  $x$  into  $T$  as in any BST:

$x$  is now a leaf

Set  $BF(x)$  to  $0$

Go up from  $x$  to the root and for each node  $v$  in this path

Adjust the BF:

if  $x$  is in right subtree of  $v$ : Increment  $\text{BF}(v)$

if  $x$  is in left subtree of  $v$ : Decrement  $\text{BF}(v)$

Rebalance if necessary:

if  $\text{BF}(v) = +2$ :

if  $\text{BF}(v.\text{right}) = +1$

Do Left Rotation, update BFs of rotated nodes, and stop

if  $\text{BF}(v.\text{right}) = -1$

Do Right-Left Rotation, update BFs of rotated nodes, and stop

if  $\text{BF}(v.\text{right}) = -2$

Symmetric to above case